



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/775,889	02/09/2004	Thadeus Schauer	226465	1284
23460 7590 01/18/2008 LEYDIG VOIT & MAYER, LTD TWO PRUDENTIAL PLAZA, SUITE 4900 180 NORTH STETSON AVENUE CHICAGO, IL 60601-6731			EXAMINER TUROCY, DAVID P	
			ART UNIT 1792	PAPER NUMBER
			MAIL DATE 01/18/2008	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No.		Applicant(s)	
	10/775,889		SCHAUER ET AL.	
	Examiner		Art Unit	
	David Turocy		1792	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on 01 November 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-12 and 14-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-12 and 14-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Response to Amendment

1. Applicant's amendments, filed 11/1/2007, have been fully considered and reviewed by the examiner. The examiner notes the amendment to claims, the cancellation of claim 13, and the addition of new claims 17-20. Claims 1-12, 14-20 remain pending in the instant application.

Response to Arguments

2. Applicant's arguments filed 11/1/2007 have been fully considered but they are not persuasive.

The applicant has argued against the Bugnon reference stating that the reference fails to disclose thereby depositing the less soluble polymer on the surface of the substrate in a manner involving more than just adsorption on the surface of the substrate. The examiner disagrees and contends that the process as taught by Bugnon discloses various processes, including the solvolysis reaction, that results in the process of Bugnon depositing in a manner involving more than just adsorption on the surface of the substrate. The examiner contends that it is unclear how the process of Bugnon can be considered depositing in a manner involving more than just adsorption. The applicants contend that the solvolysis reaction as taught by Bugnon results in depositing by adsorption and while the examiner does not necessarily agree, this is moot. The depositing involves more than just adsorption, including among other things, solvolysis reaction and therefore the process reasonably reads on the claimed limitation.

Art Unit: 1792

The examiner notes the applicant's lengthy discussion regarding adsorption and depositing, where the applicant argues that adsorption involves a solute accumulates on the surface by consequences of a surface energy and deposit is synonymous with precipitate. The examiner disagree that adsorption is not considered a depositing and maintains the prior position. *The applicant has failed to provide any convincing factual evidence that the process of Bugnon does not deposit as encompassed by the claims.*

As supplied by the applicant, deposit has varying definitions in the verb form (as required by the claim), among them "to be placed, inserted, precipitated..." (See Exhibit A) or "to put down ..." (Exhibit B). Therefore, while the applicants are correct in determining the precipitation may be a type of depositing, depositing is clearly not limited to such a narrow interpretation. As seen above, the definition is inclusive of placing, or putting down. As discussed above, the applicants contend that adsorption is the putting down of or the placing of materials on the surface of a substrate by surface energy. Therefore, contrary to the applicant's contention, giving depositing its broadest reasonable interpretation consistent with the specification, such a term encompasses adsorption. The specification fails to specifically define the term depositing and during patent examination, the pending claims must be "given the broadest reasonable interpretation consistent with the specification" by giving words their plain meaning unless the specification provides a clear definition. See *In re Prater* 415 F.2d 1393 1404-05 162 USPQ 541 and *In re Zletz* 893 F.2d 319, 321, 13 USPQ2d 1320.

Even if the applicants narrow interpretation are applied, i.e. depositing = precipitation, the examiner supplies Noro (cited on the applicants IDS dated 6/21/2004) in the rejection below, which discloses precipitation during solvolysis.

Additionally, the examiner notes the applicant argues that the claims as written fail to even encompass that which the applicants are arguing, where "less soluble" does not necessarily translate into precipitation, but only that the converted polymer may be only a small fraction less soluble, but still in fact soluble.

The applicants argue against the Bugnon reference, stating that the reference discloses adsorption and does not precipitate on the substrate, and provides a lengthy discussion illustrating the differences between deposition and absorption, which have been addressed above. However, it is unclear from the claims how the applicant is achieving this claimed "precipitation" and the prior art of Bugnon only discloses adsorption. Bugnon process describes:

- (1) bringing a solution of a PVAc in an organic solvent
- (2) subjecting the PVAc to a solvolysis reaction to form PVOH
- (3) coating the surface of a substrate.

Each of the preceding steps are required by the claims as written. Specifically, the specification on page 3 and Example 1 discloses the polymer derivative is preferably PVAc, the preferred deposited polymer includes PVOH and the solvent is usually an organic solvent. Therefore, while applicants content that Bugnon only discloses adsorption, Bugnon discloses the same process steps as claimed by the applicant using the same or substantially similar materials. Therefore, it is unclear, from the claims as

Art Unit: 1792

written how the applicant is achieving this "precipitation" and Bugnon fails to achieve the same. Since the prior art and the present claims, reflected by claim 1, teach all the same process steps using the same materials as defined by the applicants specification, the results obtained by applicants process must necessarily be the same as those obtained by the prior art. Therefore by performing the 3-step process above, it must necessarily result in a less soluble form of the polymer. Either 1) the applicant and the prior art have different definitions for various, or 2) the applicant is using other process steps or parameters or specific combinations of materials that are not shown in the claims. At the very least, it is the examiners position that the process of Bugnon must necessarily result in some degree of precipitation due to using the same process steps as described above.

The applicant argues that the process as claimed using various solvent, concentration and temperature parameters to induce adsorption rather than precipitation. However, the examiner notes that claim fails to limit the process to only precipitation and also the process as claimed includes positive recitation of only a limited number of process steps. Specifically, the claim as written only (1) bringing a solution of a PVAc in an organic solvent and (2) subjecting the PVAc to a solvolysis reaction to form PVOH and marginally (3) coating the surface of a substrate. Therefore, since the Bugnon teaches all the positively recited steps and the applicant further claims results ("thereby") of performing the positive steps, the process of Bugnon must necessarily result in the claimed results. In *Hoffer v. Microsoft Corp.*, 405 F.3d 1326, 1329, 74 USPQ2d 1481, 1483 (Fed. Cir. 2005), the court held that when a "whereby"

Art Unit: 1792

clause states a condition that is material to patentability, it cannot be ignored in order to change the substance of the invention." *Id.* However, the court noted (quoting *Minton v. Nat'l Ass'n of Securities Dealers, Inc.*, 336 F.3d 1373, 1381, 67 USPQ2d 1614, 1620 (Fed. Cir. 2003)) that a "whereby clause in a method claim is not given weight when it simply expresses the intended result of a process step positively recited." See MPEP 2111.04. *It is the examiners position that in the context of the present claims thereby is claiming intended results of the positively recited process steps.* Additionally, the applicant argues that one of ordinary skill in the art would determine the process parameters, including solvent, concentration, and temperature conditions to provide the applicants argued "more than just adsorption"; however, the examiner notes that the claims encompass all possible solvents, concentrations, and temperatures. It appears that the applicant is arguing that such process parameters are critical in the process to "thereby" deposit as argued, but the claims are silent to such process parameters. While the examiner maintains the position that the "thereby" is merely results of performing the positively recited steps, the applicant continues to maintain the results as claimed are dependant on the temperature, concentration, and pressure, the examiner has provided a 35 U.S.C. 112, first paragraph rejection for lack of essential element in the claim in response to the arguments.

The applicant argues that multiple layers can be deposited using the claimed process and such a process including in a finely controlled manner, versus uncontrolled precipitation and flocculation. This argument is not persuasive because the claims fail to require such a limitation.

The applicant argues against the Noro ("Finch") reference, stating that the reference discloses precipitation from solution but fails to disclose coating. However, the examiner notes that the rejection is based on the combination of Bugnon with Noro ("Finch") and the combination would suggest to one of ordinary skill in the art to modify the precipitation method as taught by Bugnon with that of Noro ("finch") with a reasonable expectation of success.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. Claims 1-12, 14-20 are rejected under 35 U.S.C. 112, first paragraph, as based on a disclosure which is not enabling. The temperature, concentration, and solvent are critical or essential to the practice of the invention, but not included in the claim(s) is not enabled by the disclosure. See *In re Mayhew*, 527 F.2d 1229, 188 USPQ 356 (CCPA 1976). The applicant argues that the temperature, concentration, and solvent are critical to provide the claimed results of deposition of more than just adsorption, however, such are not present in the instant claims.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

Art Unit: 1792

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1, 3-5, 7-8, 10, 12, 14, and 16 are rejected under 35 U.S.C. 102(b) as being anticipated by EP 0 528 602 by Bugnon et al., hereafter Bugnon.

Claim 1 and 12: Bugnon teaches coating a particulate pigment with a polymer (abstract). Bugnon discloses coating the pigment with a polyvinyl alcohol by forming the polyvinyl alcohol by a solvolysis reaction of a vinyl acetate polymer and pigment dispersion (Page 2, lines 35-38). Bugnon discloses dispersing a pigment in a solution of a polymer to provide coating onto the pigment (Page 2, line 56-Page 3, line 8).

Bugnon process describes:

- (1) bringing a solution of a PVAc in an organic solvent
- (2) subjecting the PVAc to a solvolysis reaction to form PVOH
- (3) coating the surface of a substrate.

Each of the preceding steps are required by the claims as written. Specifically, the specification on page 3 and Example 1 discloses the polymer derivative is preferably PVAc, the preferred deposited polymer includes PVOH and the solvent is usually an organic solvent. Therefore, while applicants content that Bugnon only discloses adsorption, Bugnon discloses the same process steps as claimed by the applicant using the same or substantially similar materials. Therefore, it is unclear, from the claims as written how the applicant is achieving this "precipitation" and Bugnon fails to achieve the same. Since the prior art and the present claims, reflected by claim 1, teach all the same process steps using the same materials as defined by the applicants

specification and examples, the results obtained by applicants process must necessarily be the same as those obtained by the prior art. Therefore by performing the 3-step process above, it must necessarily result in a less soluble form of the polymer. Either 1) the applicant and the prior art have different definitions for various, or 2) the applicant is using other process steps or parameters or specific combinations of materials that are not shown in the claims. Therefore, while the applicants contend that the prior art disclose adsorption and such is not encompassed by the claim, the examiner notes the specification and the present claims fails to exclude depositing by adsorption, but rather only discloses deposition by more than just adsorption. As discussed in section 2, and incorporated herein by reference in its entirety, the process of Bugnon discloses a solvolysis reaction and therefore does disclose depositing by more than just adsorption.

Claim 3: Bugnon discloses a polyvinyl acetate, which includes a unsaturated group on a backbone chain.

Claims 4 and 5: Bugnon discloses producing active groups and also discloses the step of crosslinking the polymer after coating the pigment (Page 4-Page 5).

Claim 7: Bugnon discloses washing the coated pigment (Page 4, line 23).

Claims 8, 10, and 16: Bugnon discloses a pigment substrate and a polymer with a molar mass in the range as claimed (abstract, Page 2, lines 35-38).

Claim 14: Bugnon discloses using a metallic pigment (Page 4, line 37).

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

1. Claims 1, 3-5, 7-8, 10, 12, 14, and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over EP 0 528 602 by Bugnon et al., hereafter Bugnon in view of "Hydrolysis of Polyvinyl Acetate to Polyvinyl Alcohol" by Noro, hereafter Noro.

Claim 1 and 12: Bugnon teaches coating a particulate pigment with a polymer (abstract). Bugnon discloses coating the pigment with a polyvinyl alcohol by forming the polyvinyl alcohol by a solvolysis reaction of a vinyl acetate polymer and pigment dispersion (Page 2, lines 35-38). Bugnon discloses dispersing a pigment in a solution

Art Unit: 1792

of a polymer to provide coating onto the pigment (Page 2, line 56-Page 3, line 8).

Bugnon discloses precipitating the PVOH out of the solution of PVAc and an organic solvent to form the coating on the pigment, but fails to disclose precipitating the PVOH as a result of the solvolysis reaction.

However, Noro teaching of varying methods to form PVOH from PVAC discloses a known and suitable method for precipitating PVOH out of solution includes using solvolysis (94, lines 1-10). Noro discloses providing a PVAC in organic solvent, similar to that suggested by Bugnon, and the solvolysis reaction proceeds rapidly with "precipitation of PVOH without stirring." Therefore, it would have been obvious to one skilled in the art at the time of the invention to have modified Bugnon to have provided the solvolysis reaction as taught by Noro with a reasonable expectation of successfully providing a precipitate to coat pigments. The selection of something based on its known suitability for its intended use has been held to support a *prima facie* case of obviousness. *Sinclair & Carroll Co. v. Interchemical Corp.*, 325 U.S. 327, 65 USPQ 297 (1945). Additionally, such a precipitation as taught by Bugnon in view of Noro is depositing by more than just adsorption as required by the present claims.

Claim 3: Bugnon discloses a polyvinyl acetate, which includes a unsaturated group on a backbone chain.

Claims 4 and 5: Bugnon discloses producing active groups and also discloses the step of crosslinking the polymer after coating the pigment (Page 4-Page 5).

Claim 7: Bugnon discloses washing the coated pigment (Page 4, line 23).

Claims 8, 10, and 16: Bugnon discloses a pigment substrate and a polymer with a molar mass in the range as claimed (abstract, Page 2, lines 35-38).

Claim 14: Bugnon discloses using a metallic pigment (Page 4, line 37).

9. Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bugnon as applied to claim 1 above and further in view of Marie publication, hereafter Marie.

Bugnon teaches all the limitations of these claims as discussed in the 35 USC 102(b) rejection above, however, the reference fails to disclose partial solvolysis.

However, Marie, teaches poly vinyl alcohol is formed by solvolysis of poly vinyl acetate and discloses controlling the hydrolysis depending on the desired product (Introduction). Marie discloses using partial solvolysis of a poly vinyl acetate to provide poly(vinyl alcohol) (introduction).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify Bugnon to use partial solvolysis as suggested by Marie to provide a desirable polyvinyl alcohol because Marie discloses it is advantageous to control solvolysis, including performing only partial solvolysis, when forming poly vinyl alcohol from poly vinyl acetate

10. Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bugnon in view of Noro as applied to claim 1 above and further in view of Marie publication, hereafter Marie.

Art Unit: 1792

Bugnon in view of Noro teaches all the limitations of these claims as discussed in the 35 USC 102(b) rejection above, however, the reference fails to disclose partial solvolysis.

However, Marie, teaches poly vinyl alcohol is formed by solvolysis of poly vinyl acetate and discloses controlling the hydrolysis depending on the desired product (Introduction). Marie discloses using partial solvolysis of a poly vinyl acetate to provide poly(vinyl alcohol) (introduction).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to modify Bugnon in view of Noro to use partial solvolysis as suggested by Marie to provide a desirable polyvinyl alcohol because Marie discloses it is advantageous to control solvolysis, including performing only partial solvolysis, when forming poly vinyl alcohol from poly vinyl acetate

11. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bugnon in view of "Addition Polymerization". Encyclopedia of Polymer Science and Engineering. Volume 1. New York. Pg 470-471.

Bugnon teaches all the limitations of these claims as discussed above in the 35 USC 102(b) rejection except, however, Bugnon fails to teach of a crosslinking reaction is a free-radical, addition, or condensation reaction.

However, "Addition Polymerization" teaches that vinyls are known in the art to crosslink using addition polymerization (Paragraph 3).

Art Unit: 1792

Therefore, it would have been obvious to one skilled in the art at the time of the invention to modify Bugnon to use the addition crosslinking reaction as suggested by "Addition Polymerization" to provide a desirable crosslinking because Bugnon teaches of using a crosslinking reaction to bond a vinyl polymer to the substrate surface and "Addition Polymerization" teaches that vinyl polymers are known in the art to crosslink using a addition reaction.

12. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bugnon in view of Noro in view of "Addition Polymerization". Encyclopedia of Polymer Science and Engineering. Volume 1. New York. Pg 470-471.

Bugnon in view of Noro teaches all the limitations of these claims as discussed above in the 35 USC 102(b) rejection except, however, Bugnon in view of Noro fails to teach of a crosslinking reaction is a free-radical, addition, or condensation reaction.

However, "Addition Polymerization" teaches that vinyls are known in the art to crosslink using addition polymerization (Paragraph 3).

Therefore, it would have been obvious to one skilled in the art at the time of the invention to modify Bugnon in view of Noro to use the addition crosslinking reaction as suggested by "Addition Polymerization" to provide a desirable crosslinking because Bugnon in view of Noro teaches of using a crosslinking reaction to bond a vinyl polymer to the substrate surface and "Addition Polymerization" teaches that vinyl polymers are known in the art to crosslink using a addition reaction.

Art Unit: 1792

13. Claims 9 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bugnon in view of US Patent 3393162 by Cox et al., hereafter Cox.

Bugnon discloses coating a polymer coating pigment and discloses various types of pigments, including metallic complexes and dyestuff pigments (Page 4, line 37), but fails to disclose coating a flat substrate or an aluminum substrate.

However, Cox, teaching of a method of providing polymer coatings on pigments, discloses aluminum flakes, which are inherently flat in structure, benefit from a polymer coating and also discloses aluminum flakes are known substitutes of dye pigments (Example 8, column 1, lines 30-38). Substitution of equivalents requires no express motivation. *In re Fount*, 213 USPQ 532 (CCPA 1982); *In re Siebentritt* 152, USPQ (CCPA 1967).

14. Claims 9 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bugnon in view of Noro in view of US Patent 3393162 by Cox et al., hereafter Cox.

Bugnon in view of Noro discloses coating a polymer coating pigment and discloses various types of pigments, including metallic complexes and dyestuff pigments (Page 4, line 37), but fails to disclose coating a flat substrate or an aluminum substrate.

However, Cox, teaching of a method of providing polymer coatings on pigments, discloses aluminum flakes, which are inherently flat in structure, benefit from a polymer coating and also discloses aluminum flakes are known substitutes of dye pigments (Example 8, column 1, lines 30-38). Substitution of equivalents requires no express

Art Unit: 1792

motivation. *In re Fount*, 213 USPQ 532 (CCPA 1982); *In re Siebentritt* 152, USPQ (CCPA 1967).

15. Claims 11 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bugnon in view of US Patent 3884871 by Herman et al ("Herman").

Bugnon teaches all the limitations of these claims as discussed above in the 35 USC 102(b) rejection, however, Bugnon fails to explicitly teach of a forming a nano layer on the surface of the substrate.

Herman, teaching of a process of coating pigment particles with a polymer, discloses that the particles measured were 0.25 – 0.26 micron in diameter both before and after coating (Example 1, Column 6, lines 32-36). It is the examiners position that a coating thickness that does not change the diameter in the micron scale inherently provides a coating thickness in the nano scale.

Therefore, it would have been obvious to one skilled in the art at the time of the invention to modify Bugnon to use the nanolayer suggested by Herman to provide a desirable pigment coating because Bugnon teaches using a polymer solution to coat a pigment particulate and Herman teaches that it is desirable to coat a pigment particle with a nanolayer from a polymer solution.

16. Claims 11 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bugnon in view of Noro in view of US Patent 3884871 by Herman et al ("Herman").

Bugnon in view of Noro teaches all the limitations of these claims as discussed above in the 35 USC 102(b) rejection, however, Bugnon in view of Noro fails to explicitly teach of a forming a nano layer on the surface of the substrate.

Herman, teaching of a process of coating pigment particles with a polymer, discloses that the particles measured were 0.25 – 0.26 micron in diameter both before and after coating (Example 1, Column 6, lines 32-36). It is the examiners position that a coating thickness that does not change the diameter in the micron scale inherently provides a coating thickness in the nano scale.

Therefore, it would have been obvious to one skilled in the art at the time of the invention to modify Bugnon in view of Noro to use the nanolayer suggested by Herman to provide a desirable pigment coating because Bugnon in view of Noro teaches using a polymer solution to coat a pigment particulate and Herman teaches that it is desirable to coat a pigment particle with a nanolayer from a polymer solution.

17. Claims 17-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bugnon in view of Noro and further in view of Derwent Abstract NL 7714035A.

Bugnon in view of Noro is applied here as applied above but fails to disclose controlling the solvolysis reaction. However, Derwent Abstract NL 7714035A discloses forming PVOH from a PVAC in 1-4 C alcohols, similar to that disclosed by Bugnon in view of Noro, and effectively controlling the solvolysis to proceed to any degree desired. Therefore, taking the references collectively, it would have been obvious to one of ordinary skill in the art to have modified Bugnon in view of Noro to control the process

Art Unit: 1792

as suggested by Derwent Abstract NL 7714035A to reap the benefits of controlling the amount of precipitate and therefore control the thickness of deposited layer.

Conclusion

18. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

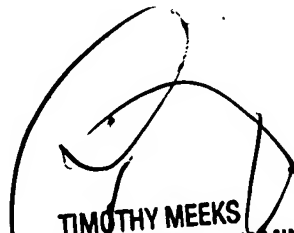
19. Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Turocy whose telephone number is (571) 272-2940. The examiner can normally be reached on Monday-Friday 8:30-6:00, No 2nd Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Timothy Meeks can be reached on (571) 272-1423. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 1792

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/David Turocy/
Patent Examiner
AU 1792



TIMOTHY MEEKS
SUPERVISORY PATENT EXAMINER